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07-24-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 24615 00300

First Inventor Peter David Stokes

Title Improvements In Or Relating To Emergency Lighting

Express Mail Label No. EL 837687540 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ /

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Malcolm B. Wittenberg				
	Crosby, Heafey, Roach & May				
Address	P.O. Box 7936				
City	San Francisco	State	CA	Zip Code	94120-7936
Country	USA	Telephone	(415) 543-8700	Fax	(415) 391-8269

Name (Print/Type)	Malcolm B. Wittenberg	Registration No. (Attorney/Agent)	27,028
Signature	<i>Malcolm B. Wittenberg</i>	Date	July 23, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number {new}  
Filing Date Herewith  
First Named Inventor Peter David Stokes et al.  
Examiner Name  
Group / Art Unit  
Attorney Docket No. 24615.00300

TOTAL AMOUNT OF PAYMENT (\$) 1020

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status  
See 37 CFR 1.27

2. ☒ Payment Enclosed

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 710)

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
35	3		15	18	270
			0	80	0
					0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 270)

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

ADDITIONAL FEES					
Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1115	110	215	55	Extension for reply within first month	
1116	390	216	195	Extension for reply within second month	
1117	890	217	445	Extension for reply within third month	
1118	1,390	218	695	Extension for reply within fourth month	
1128	1,890	228	945	Extension for reply within fifth month	
1119	310	219	155	Notice of Appeal	
1120	310	220	155	Filing a brief in support of an appeal	
1121	270	221	135	Request for oral hearing	
1138	1,510	138	1,510	Petition to institute a public use proceeding	
1140	110	240	55	Petition to revive – unavoidable	
1141	1,240	241	620	Petition to revive – unintentional	
1142	1,240	242	620	Utility issue fee (or reissue)	
1143	440	243	220	Design issue fee	
1144	600	244	300	Plant issue fee	
1122	130	122	130	Petitions to the Commissioner	
1123	130	123	130	Petitions related to provisional applications	
1126	180	126	180	Submission of Information Disclosure Stmt	
1181	40	581	40	Recording each patent assignment per property (times number of properties)	40
1146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
1149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
1179	710	279	355	Request for Continued Examination (RCE)	
1169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Malcolm B. Wittenberg Registration No. Attorney/Agent 27,028 Telephone (415) 659-5908  
Signature *Malcolm B. Wittenberg* Date July 23, 2001

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